

## Dirac Notation: Vectors + Wavefunctions

How do we relate the vector space quantum mechanics to wavefunctions?

### - Infinite Dimensional Vector Spaces

- In the 1920s, there were 2 competing versions of quantum mechanics
  - + Schrödinger's wave function formalism, based on the idea of wave-particle duality
  - + Heisenberg matrix mechanics, inspired by ideas of quantization
  - + Previous classes probably followed Schrödinger, but we've mostly followed Heisenberg
  - + Dirac showed the connection between the two

### • Function Spaces

- + Sets of functions (including some types of b.c.) follow the rules for vector spaces (2 functions add to a function, etc)
- + The  $L^2$  functions satisfy

$$\int dx |\psi|^2 \text{ finite, so they can be normalized.}$$

These have inner product ( $\langle \psi | \phi \rangle = \int \psi^*(x) \phi(x)$ )

- + The mathematical field of functional analysis is about these infinite-dimensional spaces (& related ones)
- + Operators include multiplication by functions & differential operators
- + In many cases, there is a discrete basis of functions

### • Example: Periodic Functions / Functions on a circle

- + Let's take functions satisfying  $\psi(x) = \psi(x + 2\pi R)$  using the  $L^2$  inner product

- + The complex exponentials  $|e_n\rangle \approx \frac{1}{\sqrt{2\pi R}} e^{inx/R}$ ,  $n \in \mathbb{Z}$

make an orthonormal set  $\langle e_n | e_m \rangle = \delta_{nm}$ . Theorems about Fourier series show this is a basis

$$\psi(x) = \sum_n \frac{\psi_n}{\sqrt{2\pi R}} e^{inx/R} \Leftrightarrow | \psi \rangle = \sum_n \psi_n | e_n \rangle$$

- + We might think the  $| e_n \rangle$  are e-states of a Hermitian operator. We define a momentum operator  $p$  s.t.  $p | e_n \rangle = (\hbar n/R) | e_n \rangle$   
This means  $p \psi \approx -i\hbar d\psi/dx$ , or  $p = -i\hbar d/dx$
- + Can also have a position operator  $x$  s.t.  $x \psi \approx (x \psi(x))$

• Example: Angular Momentum / Spherical harmonics

- + Recall that angular momentum  $S$  has  $2s+1$  states, so we represent these states w/  $2s+1$  dim vectors

$$\left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}, \left\{ \begin{bmatrix} 1/2 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1/2 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1/2 \end{bmatrix} \right\}, \dots$$

$l=0, 0 \quad l=1, 1 \quad l=1, 1 \quad s=1/2, 1/2 \quad l=1, 0 \quad l=1, -1$

- + We can describe all the  $(s, m)$  angular momentum states with an infinite dim vector  $\begin{bmatrix} \vdots \\ s=0 \\ \vdots \\ s=1 \\ \vdots \end{bmatrix}$

- + Then the spin operators also fit in  $\infty$  matrices  $S_z \approx \begin{bmatrix} 0 & \dots & 1 & 0 \\ \vdots & \ddots & 0 & \vdots \\ 0 & \dots & 0 & -1 \end{bmatrix} \rightarrow S_z \approx \hbar \begin{bmatrix} 0 & & & \\ & 1/2 & & \\ & & 0 & \\ & & & -1 \end{bmatrix}$   $\left. \begin{matrix} s=0 \\ s=1/2 \\ s=1 \end{matrix} \right\}$

$$S_x \approx \frac{\hbar}{2} \begin{bmatrix} 0 & 1/2 & & \\ & 0 & 1/2 & \\ & & \ddots & \\ & & & 0 \end{bmatrix}$$

with  $S_x, S_y$ , they are all block diagonal (b/c they don't change  $s$ )

- + If we limit to orbital angular momentum with  $s \rightarrow l = 0, 1, 2, \dots$ , we know we can also represent the  $| l, m \rangle$  states by spherical harmonic wavefunctions (in angular variables).

$$| l, m \rangle \approx \begin{bmatrix} 0 \\ \vdots \\ 0 \\ \vdots \\ 0 \\ \vdots \\ 0 \\ \vdots \\ 0 \end{bmatrix} \approx Y_l^m(\theta, \phi)$$

$l=0 \quad \leftarrow l, m$

- + The angular momentum operators convert to differential operators acting on angular functions

$$L_z \approx -i\hbar \frac{\partial}{\partial \phi} \quad \text{and} \quad L^2 = -\hbar^2 \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \left( \sin\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial \phi^2} \right]$$

## - Dirac-Normalized Basis Vectors

- What are eigenstates of position operator  $x$ ?
  - + Position should be observable, so e'states  $|x\rangle$  of different e'values should be orthogonal.
  - + But full continuous e'values  $x$ , normalization  $\langle x|x\rangle = 1$  is not useful

+ Instead  $\langle x'|x\rangle = \delta(x-x')$  and  $x \cdot |x\rangle = x|x\rangle$

(Note the use of  $\cdot$  for operator action for clarity.)

- + We call this Dirac normalized or delta-function normalized
- + We can treat  $\{|x\rangle\}$  like a basis.

In analogy to  $|\psi\rangle = \sum \psi_n |e_n\rangle$  for orthonormal basis, say

$$|\psi\rangle = \int dx \psi(x) |x\rangle \quad \text{for } \psi(x) \text{ the wavefunction}$$

Then

$$\langle x|\psi\rangle = \int dx' \psi(x') \langle x|x'\rangle = \int dx' \psi(x') \delta(x'-x) = \psi(x)$$

like  $\psi_n = \langle e_n|\psi\rangle$ .

- + Dirac normalized vectors
- General properties
  - + A Dirac normalized set acts like an orthonormal basis in terms of finding "components" by inner products
  - + Similarly, there is a completeness relation

$$1 = \int dx |x\rangle \langle x| \quad \text{etc}$$

- + But delta-normalized kets are not physical states
  - /k They can't be normalized to one. They are the limit of normalized states (like Gaussian wavefunctions for  $|x\rangle$ )

+ Wavefunction gives probability density  $P(x, x+\Delta x) = \int_x^{x+\Delta x} dx' |\langle x'|\psi\rangle|^2 = \int_x^{x+\Delta x} dx' |\psi(x')|^2$

## • Momentum basis

- + We've seen momentum e'states for periodic space as complex exponentials. For infinite range  $(-\infty < x < \infty)$ , we can switch to Dirac normalized  $|p\rangle \approx \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$

- + This definition means we have inner products  $\langle x|p\rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$
- + We can write  $|\psi\rangle$  as a superposition in either basis

$$|\psi\rangle = \int dx \psi(x) |x\rangle = \int dp \tilde{\psi}(p) |p\rangle$$

and convert via inner products

$$\tilde{\psi}(p) = \langle p|\psi\rangle = \int dx \psi(x) \langle p|x\rangle = \frac{1}{\sqrt{2\pi\hbar}} \int dx \psi(x) e^{-ipx/\hbar}$$

which is a Fourier transform (and vice versa)

- + We can also see that

$$\begin{aligned} \langle x|p|\psi\rangle &= \int dp \tilde{\psi}(p) \langle x|p\rangle = \left(\frac{1}{\sqrt{2\pi\hbar}}\right) \int dp p \tilde{\psi}(p) e^{ipx/\hbar} \\ &= -i\hbar \frac{d}{dx} \left( \frac{1}{\sqrt{2\pi\hbar}} \int dp \tilde{\psi}(p) e^{ipx/\hbar} \right) = -i\hbar \frac{d\psi}{dx} \end{aligned}$$

This demonstrates

$$p \approx -i\hbar \frac{d}{dx}$$

### • Bound States vs Scattering States

- + Mostly we are interested in <sup>stationary</sup> states where the wavefunction is normalizable, i.e., it drops off quickly for large distance.

- + These states are bound to a potential.

- + They have a discrete spectrum of energies
- + But there are also states with energies above the potential  $V$  (unless  $V \rightarrow \infty$  at large distance).

- + The exact energy  $e$  states (scattering states) are delta-function normalizable, so physical scattering states (wavepackets) are not definite energy. (think free particle)

- + In this case, the completeness relation must include a sum over bound state dyads & an integral over scattering state dyads.

- Note: In 3D, promote  $x \rightarrow \vec{x}$  = vector of operators. The relation with  $\vec{p}$  eigenstates is  $\langle \vec{x}|\vec{p}\rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{i\vec{p}\cdot\vec{x}/\hbar}$  and  $\vec{p} \approx -i\hbar \vec{\nabla}$ .

## - Review / Examples to Remember

- The free particle: energy e'states are momentum e'states.  
All are scattering states

- Infinite square well  $V(x) = 0$  inside,  $\infty$  at boundaries  
+ Imposes Dirichlet b. c. at  $x = \pm a$

+ Energy e'values are 
$$E_n = \frac{\hbar^2}{2m} \left( \frac{n\pi}{2a} \right)^2$$

with

$$\psi_n(x) = \langle x | E_n \rangle = \begin{cases} \cos(n\pi x/2a) / \sqrt{a}, & n \text{ odd} \\ \sin(n\pi x/2a) / \sqrt{a}, & n \text{ even} \end{cases}$$

- Harmonic Oscillator  $H(\hat{p}, \hat{x}) = \hat{p}^2/2m + m\omega^2 \hat{x}^2/2$

- + Energy e'states labeled by  $n=0, 1, 2, \dots$ , denoted  $|n\rangle$

$$E_n = \hbar\omega(n+1/2), \quad \langle x | n \rangle = \psi_n(x) = \left( \frac{m\omega}{\hbar\pi} \right)^{1/4} \frac{1}{\sqrt{2^n n!}} H_n(\xi) e^{-\xi^2/2}$$

where  $\xi = \sqrt{\frac{m\omega}{\hbar}} x$  and  $H_n =$  Hermite polynomial

- + More cleanly, define

$$a = \sqrt{\frac{\hbar m \omega}{2}} x + \frac{i\hat{p}}{\sqrt{2\hbar m \omega}} \quad \text{and its adjoint } a^\dagger$$

+ Then  $[a, a^\dagger] = 1$ ,  $H = \hbar\omega(a^\dagger a + 1/2)$ ,  $[H, a] = -\hbar\omega a$   
 $[H, a^\dagger] = \hbar\omega a^\dagger$

- + This means  $a$  is the lowering operator

$$a |n\rangle = \sqrt{n} |n-1\rangle$$

and  $a^\dagger$  is the raising operator

$$a^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle \Rightarrow |n\rangle = \frac{1}{\sqrt{n!}} (a^\dagger)^n |0\rangle$$

- + Almost all the time, it's easier to use this operator notation than wavefunctions.

- Coulomb Potential (1st Approx. of Hydrogen Atom)

- + Wavefunctions are best described in spherical coordinates, defined by  $n =$  principal quantum #,

$l, m =$  <sup>orbital</sup> angular momentum quantum numbers,  $s, m_s =$  spin quantum numbers. Write states as  $|n, l, m; s, m_s\rangle$

- + Wavefunctions are (spin factorizes out here)

$$\langle \vec{x} | n, l, m \rangle = \psi_{nlm}(x) = \sqrt{\left( \frac{2}{na} \right)^3 \frac{(n-l)!}{2n(n+l)!}} e^{-\frac{r}{na}} \left( \frac{2r}{na} \right)^l L_{n-l}^{2l} \left( \frac{2r}{na} \right) Y_l^m(\theta, \phi)$$

where  $L_{n-1}^{2l+1}$  are associated Laguerre polynomials

and  $Y_{lm}$  are spherical harmonics (as usual)

+ Finally,  $a = 4\pi\epsilon_0 \hbar^2 / m e^2 = \text{Bohr radius}$

+ Energy depends only on principal quantum number

$$E_n = \frac{-\hbar^2}{2m a^2} \frac{1}{n^2} = -\frac{m}{2\hbar^2} \left( \frac{e^2}{4\pi\epsilon_0} \right)^2 \frac{1}{n^2}$$

+ Since the energies don't depend on angular momentum, it can be advantageous to pick different states with definite total angular momentum quantum numbers  $j, m_j$  (and  $l, s$ ), so we have  $|n, j, m_j; l, s = \hbar\rangle$