

• Observables in QM (2nd axiom)

In classical mechanics, an observable is a function of location in phase space.

→ In QM, an observable is associated with a Hermitian linear operator on the Hilbert space of states, and any measurement of that observable yields an eigenvalue of the operator.

- Definitions there:

• A linear operator \mathcal{O} on a vector space

+ Takes a vector $|\psi\rangle$ to a vector $|\phi\rangle$: $|\phi\rangle = \mathcal{O} \cdot |\psi\rangle = \mathcal{O}|\psi\rangle$

(I will use a \cdot to represent operator action only when needed for clarity)

+ Is linear: $\mathcal{O}(a|\psi\rangle + b|\phi\rangle) = a\mathcal{O}|\psi\rangle + b\mathcal{O}|\phi\rangle$; $a, b \in \mathbb{C}$

• The (Hermitian) adjoint of operator \mathcal{O} is denoted \mathcal{O}^\dagger

+ It satisfies $(\langle \mathcal{O}^\dagger \psi |, |\phi \rangle) = (|\psi \rangle, \mathcal{O}|\phi \rangle)$ and $(\langle \mathcal{O} \psi |, |\phi \rangle) = (|\psi \rangle, \mathcal{O}^\dagger |\phi \rangle)$
(which is just the conjugate statement) for any 2 vectors.

+ In Dirac notation, the operator always acts to the right on the ket. Therefore, the definition of the adjoint is

$$\langle \phi | \mathcal{O} | \psi \rangle = (\langle \psi | \mathcal{O}^\dagger | \phi \rangle)^* \text{ etc.}$$

+ A Hermitian operator satisfies $\mathcal{O}^\dagger = \mathcal{O}$

• Examples:

+ Consider the n -dim column vectors in some basis. Then we know a linear operator is an $n \times n$ matrix multiplication.

$$|\phi\rangle = \mathcal{O}|\psi\rangle \Rightarrow \begin{bmatrix} \phi_1 \\ \vdots \\ \phi_n \end{bmatrix} = [\mathcal{O}] \begin{bmatrix} \psi_1 \\ \vdots \\ \psi_n \end{bmatrix} \Rightarrow$$

$$\begin{aligned} \text{But then } \langle \phi | \mathcal{O} | \psi \rangle &\cong [\phi_1^* \dots \phi_n^*] [\mathcal{O}] \begin{bmatrix} \psi_1 \\ \vdots \\ \psi_n \end{bmatrix} = [\psi_1 \dots \psi_n] [\mathcal{O}^\dagger] \begin{bmatrix} \phi_1^* \\ \vdots \\ \phi_n^* \end{bmatrix} \\ &= ([\psi_1^* \dots \psi_n^*] [\mathcal{O}^\dagger] \begin{bmatrix} \psi_1 \\ \vdots \\ \psi_n \end{bmatrix})^* \Rightarrow \mathcal{O}^\dagger = (\mathcal{O}^\dagger)^* \end{aligned}$$

as a matrix. Generalizes to ∞ -dim matrices.

+ In a function space $|\psi\rangle = \int d\vec{x} \psi(\vec{x}) |\vec{x}\rangle \cong \psi(\vec{x})$, operators include differential operators. Famously, the momentum operator is

$$\vec{p} \cdot |\psi\rangle = \int d\vec{x} (-i\hbar \vec{\nabla} \psi) |\vec{x}\rangle \cong -i\hbar \vec{\nabla} \psi(\vec{x})$$

(As you saw on homework)

• Eigenvalues and eigenvectors.

+ An eigenvector (or eigenstate or eigenfunction) of \mathcal{O} is a non-zero vector $|\lambda\rangle$ such that $\mathcal{O} \cdot |\lambda\rangle = \lambda |\lambda\rangle$ for λ a scalar called the eigenvalue. ($\mathcal{O} \cdot |\lambda\rangle$ is proportional to $|\lambda\rangle$)

+ An important use is in the column-vector matrix form.

$$\text{Then we know that } \mathcal{O} \cdot |\lambda\rangle = \lambda |\lambda\rangle \Rightarrow (\mathcal{O} - \lambda \mathbf{I}) \cdot |\lambda\rangle = 0 \quad (*)$$

$$\Rightarrow \det(\mathcal{O} - \lambda \mathbf{I}) = 0.$$

In finite dimensions, this characteristic equation is a polynomial eqn.

that gives the eigenvalues λ_i . Then you get the $|\lambda_i\rangle$ by substituting into $(*)$

Note that $(*)$ cannot determine the normalization of $|\lambda_i\rangle$.

+ In function spaces, you have to solve differential eqns.

- Properties of Hermitian operators.

• Eigenvalues are real

+ Let $|\lambda\rangle$ be eigenvector of \mathcal{O} w/ eigenvalue λ

$$+ \langle \lambda | \mathcal{O} | \lambda \rangle = \lambda \langle \lambda | \lambda \rangle. \text{ And } \langle \lambda | \mathcal{O} | \lambda \rangle = \langle \lambda | \mathcal{O}^\dagger | \lambda \rangle^* = \langle \lambda | \mathcal{O} | \lambda \rangle^*$$

$$\rightarrow \lambda = \lambda^*$$

• Eigenvectors with different eigenvalues are orthogonal

+ $|\lambda_1\rangle$ with eigenvalue λ_1 , $|\lambda_2\rangle$ with eigenvalue λ_2 of \mathcal{O}

$$+ \langle \lambda_2 | \mathcal{O} | \lambda_1 \rangle = \lambda_1 \langle \lambda_2 | \lambda_1 \rangle \text{ and } = \langle \lambda_2 | \mathcal{O}^\dagger | \lambda_1 \rangle^* = \langle \lambda_2 | \mathcal{O} | \lambda_1 \rangle^* = \lambda_2 \langle \lambda_2 | \lambda_1 \rangle$$

Either $\lambda_1 = \lambda_2$ or $\langle \lambda_2 | \lambda_1 \rangle = 0$.

+ The set of eigenstates $\{|\lambda_i\rangle\}$ of a Hermitian operator can be made into an orthonormal basis. (Can be proved in many cases, but we will just assume this to be true always.)

• Important examples (Think about how to show these are Hermitian)

+ Position (start w/ 1D) $x \cdot |x\rangle = x|x\rangle$ (sometimes operator denoted \hat{x})

The eigenvalues are any allowed position. $|x\rangle$ are orthonormal in Dirac sense.

+ Momentum: $p \cdot |p\rangle = p|p\rangle$ in eigenbasis. Eigenvalues depend on b.c. of space studied. In position basis $\hat{p} \cdot |\psi\rangle = -i\hbar \vec{\nabla} \psi(\vec{x})$

+ Hamiltonian (energy): $H \cdot |E_n\rangle = E_n |E_n\rangle$ In nonrelativistic QM, we will consider $H = \frac{\hat{p}^2}{2m} + V(\vec{x})$ with rare exception.

- Dyad Operators (more Dirac notation)

• Definition: + An operator made from a ket + bra $\mathcal{O} = |\psi\rangle\langle\phi|$

+ You might hear of this as an "outer product" of vectors.

In matrix notation, this is column \times row
= sq. matrix

$$\begin{bmatrix} \psi_1 \\ \vdots \\ \psi_n \end{bmatrix} [\phi_1^* \quad \dots \quad \phi_n^*] = \begin{bmatrix} \psi_1 \phi_1^* & \dots & \psi_1 \phi_n^* \\ \vdots & & \vdots \\ \psi_n \phi_1^* & \dots & \psi_n \phi_n^* \end{bmatrix}$$

• Projection Operators

+ Consider 1 vector $|e\rangle$ from an orthonormal basis. Then $P = |e\rangle\langle e|$ projects a state onto $|e\rangle$: $P|\psi\rangle = |e\rangle\langle e|\psi\rangle$. Note: $P^2 = P$

+ You can generalize this easily to $P = \sum_{e_i} |e_i\rangle\langle e_i|$ where $\{|e_i\rangle\}$ span a subspace. Still $P^2 = P$.

+ what if you take the entire basis? Then $P = \sum_n |e_n\rangle\langle e_n|$ projects onto the entire space - no part of the vector is lost!

That means

$$P = \sum_n |e_n\rangle\langle e_n| = \mathbb{1} \quad (\text{identity operator})$$

That's the completeness relation

$$\sum_n |e_n\rangle\langle e_n| = \mathbb{1}$$

orthonormal

$$\int d\lambda |e_\lambda\rangle\langle e_\lambda| = \mathbb{1}$$

delta-function normalized

or possibly mixed

+ This means we can always rewrite our state easily in any basis

$$\begin{aligned}
 |4\rangle &= \int d^3\vec{x} |\vec{x}\rangle \langle \vec{x}|4\rangle = \int d^3\vec{x} \psi(\vec{x}) |\vec{x}\rangle && \text{wavefunction} \\
 &= \int d^3\vec{p} |\vec{p}\rangle \langle \vec{p}|4\rangle = \int d^3\vec{p} \tilde{\psi}(\vec{p}) |\vec{p}\rangle && \text{momentum space} \\
 & && \text{wavefunction} \\
 &= \sum_n |E_n\rangle \langle E_n|4\rangle = \sum_n c_n |E_n\rangle && \text{components in terms} \\
 & && \text{of energy eigenstates}
 \end{aligned}$$

• Any operator can be written in dyad form.

+ In n -dimensional column vector space, operators are matrices. The individual elements come from sandwiching with basis rows & columns.

In other words, (for any basis $\{|e_n\rangle\}$)

$$O|4\rangle = \sum_{n,m} |e_n\rangle \langle e_n|O|e_m\rangle \langle e_m|4\rangle$$

The sum over m is matrix multiplication in this basis where $\langle e_m|4\rangle$ is the column vector component + $\langle e_n|O|e_m\rangle$ is matrix element

The sum on n assembles the new components with the basis vectors again

+ This is how QM worked in Heisenberg's "matrix formulation" (as opposed to Schrödinger's wave function version). Dirac notation + the abstract formalism shows these approaches are equivalent.

+ We call $\langle \phi|O|\psi\rangle$ a matrix element for any 2 states.

+ Suppose O is Hermitian and consider its eigenbasis $\{|\lambda_n\rangle\}$.

Then

$$\begin{aligned}
 O &= \sum_{n,m} |\lambda_n\rangle \langle \lambda_n|O|\lambda_m\rangle \langle \lambda_m| = \sum_{n,m} \lambda_m |\lambda_n\rangle \langle \lambda_m| \delta_{nm} \\
 &= \sum_n \lambda_n |\lambda_n\rangle \langle \lambda_n|
 \end{aligned}$$

Tells you to break $|4\rangle$ into parts corresponding to each eigenvalue (work out example or 2)