

# Formalism + Rules of Quantum Mechanics

We will review by examining the axioms of QM + comparing to classical mech.

## • States in QM (first axiom)

In classical mechanics, the state is specified by the point in phase space  $(\vec{x}, \vec{p})$

\* In QM, states are vectors in a complex Hilbert space w/ unit norm.  
let's examine the (nested) definitions.

- A Hilbert space is a vector space w/ an inner product. (and the property that convergent sequences converge to points in the space.)

• Vector space: A set of vectors  $|1\rangle, |0\rangle$ , etc such that

+ It is closed under addition:  $|1\rangle = |1\rangle + |0\rangle$  is a vector

Addition is commutative and associative. In Hilbert spaces, this is true for convergent  $\infty$  sums.

+ There is a zero vector  $0$  s.t.  $|1\rangle + 0 = |1\rangle$

+ and an inverse  $(-|1\rangle)$  for every vector s.t.  $|1\rangle + (-|1\rangle) = 0$

+ It is closed under multiplication by scalars (numbers) (a complex in our case i.e. for vector  $|1\rangle$ ,  $c \in \mathbb{C}$ ,  $|c\rangle = c|1\rangle$  is a vector)

Multiplication by 0 gives 0 vector and by 1 gives the same vector  $|1\rangle = |1\rangle$ .

+ Any vector is a linear superposition of basis vectors

$$|\psi\rangle = c_1|1\rangle + c_2|2\rangle + \dots + c_n|n\rangle$$

The number of required basis vectors to create any vector is the dimension

You can have different sets of basis vectors.

$$|\psi\rangle = c_1|1\rangle + \dots + c_n|n\rangle = c'_1|1'\rangle + \dots + c'_n|n'\rangle$$

There are 2 different ways to write the same thing

+ An example: 3D position written as  $\vec{x} = x^1\hat{i} + x^2\hat{j} + x^3\hat{k}$  is a vector with basis  $(\hat{i}, \hat{j}, \hat{k})$ . But if you rotate axes by some angle,

$$\vec{x}' = x'^1\hat{i}' + x'^2\hat{j}' + x'^3\hat{k}'$$
 is the same vector. (Real vector space)

- Inner product: A function  $(|\psi\rangle, |\phi\rangle)$  of 2 vectors that gives a scalar  
 Inner products are
  - + Linear in the right-hand argument  $(|\psi\rangle, c_1|\phi_1\rangle + c_2|\phi_2\rangle) = c_1(|\psi\rangle, |\phi_1\rangle) + c_2(|\psi\rangle, |\phi_2\rangle)$
  - + Anti-linear in Lh. argument  $(c_1|\psi\rangle + c_2|\psi_2\rangle, |\phi\rangle) = c_1^*(|\psi\rangle, |\phi\rangle) + c_2^*(|\psi_2\rangle, |\phi\rangle)$
 Equivalently,  $(|\psi\rangle, |\phi\rangle) = (\langle \psi|, |\phi\rangle)^*$ 
  - + Positive semi-definite  $(|\psi\rangle, |\psi\rangle) \geq 0$  and saturated when  $|\psi\rangle = 0$ .
  - + 2 vectors are orthogonal when  $(|\psi\rangle, |\phi\rangle) = 0$ .
  - + The norm of a vector is  $\|\psi\| = \sqrt{(\langle \psi|, \psi\rangle)}$ . So a normalized vector - a quantum state - has  $\|\psi\| = 1$ .
  - + A basis  $|\psi_i\rangle, \dots, |\psi_n\rangle$  is orthonormal if  $(|\psi_i\rangle, |\psi_j\rangle) = \delta_{ij}$ .
  - + Example Our real 3D position vectors have orthonormal basis  $\hat{i}, \hat{j}, \hat{k}$ .  
 In components, the dot product  $\vec{x} \cdot \vec{x}_2 = x_1x_2 + y_1y_2 + z_1z_2$  is an inner product. We can find components in a basis as  $(\vec{x}, \hat{x}) = x$ , etc.
- More general examples of Hilbert spaces
  - +  $n$ -dimensional complex column vectors  $|\psi\rangle \approx \begin{bmatrix} \psi_1 \\ \vdots \\ \psi_n \end{bmatrix}$  means "related to"  
 This is implicitly written in terms of the orthonormal basis  $|e_i\rangle \approx \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, |e_2\rangle \approx \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}$ , etc.  
 The components are  $\psi_i = (\langle e_i|, |\psi\rangle)$  and the inner product in components is  $(|\psi\rangle, |\phi\rangle) = \psi_1^* \phi_1 + \psi_2^* \phi_2 + \dots$ .
  - + Functions also make a vector space (say, functions over  $0 < x < 2\pi/R$ )  
 We can make a Hilbert space of square-integrable functions ( $L^2$ )  
 $f(x) \in L^2$  if  $\int_x |\psi(x)|^2 dx < \infty \Rightarrow$  normalizable.  
 (The volume could be something like that interval  $0 < x < 2\pi/R$  or a 3D volume.)

The inner product

$$\langle | \psi \rangle, | \phi \rangle \rangle = \int_{\text{over the appropriate range}} x \psi^*(x) \phi(x)$$

$L^2$  Hilbert spaces correspond to what you've learned about QM before.

### - Dirac Notation

- We've seen that we denote vectors or states as kets  $| \psi \rangle$ .  
A ket represents any kind of vector as a mathematical object.  
It's inner product with a basis vector gives the component in that basis

- Dual vectors: A linear function that turns a vector to a scalar

$$T(| \psi \rangle) = c$$

- + It's possible to prove that there is a vector  $| \phi_T \rangle$  such that

$$T(| \psi \rangle) = \langle | \phi_T \rangle, | \psi \rangle \rangle \text{ for all } | \psi \rangle$$

That is, any dual vector = inner product with a corresponding vector.

- + In our n-dim column example, dual vectors are n-dim rows.

so  $T$  = matrix multiplication by  $[a_1 \dots a_n]$ . But this is the same as the inner product with  $| \phi \rangle = [a_1^* \dots a_n^*]^T$ .

So we see the dual vector is  $(| \phi \rangle)^*$  where  $*$  is the adjoint (transpose conjugate)

- + We write the dual vector as the bra of the associated vector  $\langle | \phi | = \langle | \phi \rangle^*$ .

+ We can now denote the inner product as  $\langle | \phi \rangle, | \psi \rangle \rangle = \langle | \phi | \psi \rangle = \langle | \phi | \psi \rangle^*$

- + For our  $L^2$  space example, the "matrix multiplication" includes integration as in the inner product.

### - Basis Sets for function spaces

- We could imagine extending to n-dim column vectors.

+ That's an infinite but discrete basis

- + How does this work for functions? Look at interval  $0 < x < 2\pi R$  and functions with period  $2\pi R$ . We know any such function

can be written as a Fourier series

$$f(x) = \sum_{n=-\infty}^{\infty} \frac{f_n}{\sqrt{2\pi R}} e^{inx/R}$$

This is writing  $|f\rangle$  in terms of the basis  $|e_n\rangle \approx \frac{1}{\sqrt{2\pi R}} e^{inx/R}$

- + We also remember that these complex exponentials are orthonormal.  $\langle e_m | e_n \rangle = \delta_{mn}$
- + We will have many examples of such basis states

• An infinite basis could also be continuous.

- + How do we get from a state/vector  $|4\rangle$  to a function  $\psi(x)$ ? ← no basis vector in function
- + Consider a set of states  $|x\rangle$  labeled by continuous numbers  $x$  with delta-function normalization  $\langle x' | x \rangle = \delta(x-x')$

+ Then write

$$|4\rangle = \int dx' \psi(x') |x'\rangle \iff \langle x | 4 \rangle = \int dx' \psi(x') \langle x | x' \rangle = \psi(x)$$

- + When  $|4\rangle$  is the state of a particle, we call  $\langle x | 4 \rangle = \psi(x)$  the wavefunction
- + Delta-function (or Dirac) normalized kets are not states b/c not properly normalized. But they "act like" an orthonormal basis.
- + Note that normalization

$$1 = \langle 4 | 4 \rangle = \int dx \int dx' \psi^*(x) \psi(x') \delta(x-x') = \int dx |\psi(x)|^2$$