

# The Semiclassical or WKB Approximation

(68)

WKB = (Jeffreys) Wentzel - Kramers - Brillouin

## • The Approximation Itself

- Consider a particle of energy  $E$  in potential  $V(x)$ .

What happens in classical mechanics?

• The particle moves around in a way that respects energy conservation

$$E = \text{constant} = P^2/2m + V(x)$$

• On a given path, the momentum  $p$  at a point  $x$  obeys

$$p(x) = \sqrt{2m(E - V(x))}$$

→ We want to impart this into a quantum wavefunction

• A free particle of momentum  $p$  has wavefunction  $\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$

• Suggests approximating wavefunction  $\psi \propto e^{i \int_{x_0}^x p(x') dx' / \hbar}$

• When is this reasonable? + Free particles have constant potential and constant wavelength  $\lambda = 2\pi\hbar/p$ .

+ Approximation works when  $|\frac{d\lambda}{dx}| \ll 1 \Rightarrow \left| \frac{\hbar}{p} \frac{dp}{dx} \right| \ll \hbar/p$

Meaning that the change in momentum over 1 wavelength is small compared to momentum

• So think of wavefunction as sinusoidal with slow changes in amplitude and wavelength

## - Schrödinger Equation + Derivation (1D for now)

• It is possible to make a rigorous expansion in the small parameter  $\hbar |p'/p| \ll \hbar/p$  hence "semiclassical."

We'll take more direct approach

• Define  $\psi(x) = A(x) e^{i\phi(x)}$ ,  $A, \phi$  real,

+ for stationary state with  $E > V(x)$

+ Schrödinger equation becomes

$$A'' + 2iA'\phi' + iA\phi'' - A(\phi')^2 = -\frac{p^2}{\hbar^2} A$$

+ This is exact so far. Gives 2 real equations.

+ The imaginary part is

$$(A^2\phi')' = 0 \implies A(x) = C/\sqrt{\phi'(x)}$$

• The approximation is in the real part

+  $\phi \approx \int^x p dx / \hbar$  is our guess, so  $A \propto 1/\sqrt{p}$ ,  $A' \propto p'/p A$ ,

$$A'' \approx \mp \frac{p''}{p} A + \mp \frac{(p')^2}{p^2} A. \text{ Looks small semiclassically.}$$

+ So we have  $A'' - A(\phi')^2 \approx -p^2/\hbar^2 A$

$$\text{Approximately } (\phi')^2 = p^2/\hbar^2 \implies \phi(x) = \pm \frac{1}{\hbar} \int dx p(x)$$

+ Should really be a definite integral, but absorb any constants into the normalization constant C

• The total solution:  $p(x) = \sqrt{(E - V(x))} \hbar$

$$+ \psi(x) = \frac{1}{\sqrt{p(x)}} \left[ C_1 e^{i \int p dx / \hbar} + C_2 e^{-i \int p dx / \hbar} \right], \quad E > V(x)$$

or

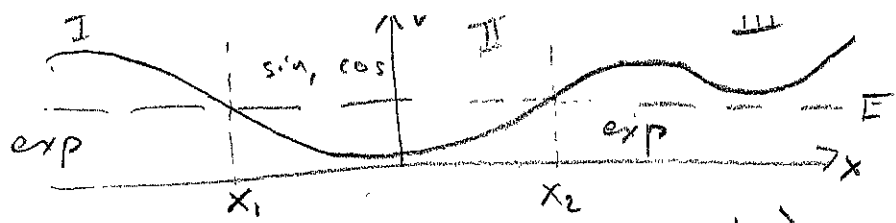
$$+ \psi(x) = \frac{1}{\sqrt{p(x)}} \left[ C_3 \sin\left(\int p dx / \hbar\right) + C_4 \cos\left(\int p dx / \hbar\right) \right], \quad E > V(x)$$

+ If  $E < V(x)$ , the solution is the same but  $p(x)$  is imaginary

Define  $\rho(x) = -i p(x) = \sqrt{(V(x) - E)} \hbar$ . Then

$$\psi(x) = \frac{1}{\sqrt{\rho(x)}} \left[ D_1 \exp\left(\int \rho dx / \hbar\right) + D_2 \exp\left(-\int \rho dx / \hbar\right) \right], \quad E < V(x)$$

+ Use the appropriate formula in different regions



- Connection Formulas (to be derived later)
  - + Switch from exponential to trig at classical turning points  $x_1 + x_2$ , where  $E = V(x)$ . Need to relate coefficients to satisfy b.c., etc
  - + At downward sloping turning points

$$\psi = \begin{cases} A/\sqrt{p} \exp[-\int_x^{x_1} dx' p/\hbar] + B/\sqrt{p} \exp[\int_x^{x_1} dx' p/\hbar] & x < x_1 \\ 2A/\sqrt{p} \cos[\int_{x_1}^x dx' p/\hbar - \pi/4] + B/\sqrt{p} \sin[\int_{x_1}^x dx' p/\hbar - \pi/4] & x > x_1 \end{cases}$$

+ Or at upward sloping ones

$$\psi = \begin{cases} 2A/\sqrt{p} \cos[\int_x^{x_2} dx' p/\hbar - \pi/4] - B/\sqrt{p} \sin[\int_x^{x_2} dx' p/\hbar - \pi/4] & x < x_2 \\ A/\sqrt{p} \exp[-\int_x^{x_2} dx' p/\hbar] + B/\sqrt{p} \exp[\int_x^{x_2} dx' p/\hbar] & x > x_2 \end{cases}$$

• Applications

- Bound States

• Consider energy  $E$  and potential as above

+ To left of  $x_1$ , we have

$$\psi_I = A/\sqrt{p} e^{+\int_x^{x_1} dx' p/\hbar}$$

$$\Rightarrow \psi_{II} = 2A/\sqrt{p} \cos[\int_{x_1}^x dx' p/\hbar - \pi/4]$$

+ To right of  $x_2$ , should die off

$$\psi_{III} = A_2/\sqrt{p} e^{-\int_{x_2}^x dx' p/\hbar} \Rightarrow \psi_{II} = \frac{2A_2}{\sqrt{p}} \cos[\int_x^{x_2} dx' p/\hbar - \pi/4]$$

• We must have 2 forms of  $\psi_{II}$  match.

+ Note

$$\cos[\int_{x_1}^x dx' p/\hbar - \pi/4] = \cos[\int_{x_1}^{x_2} dx' p/\hbar - \int_x^{x_2} dx' p/\hbar - \pi/4]$$

$$= \cos\left(\int_{x_1}^{x_2} dx' p/\hbar\right) \cos\left(\int_x^{x_2} dx' p/\hbar + \frac{\pi}{4}\right) + \sin\left(\int_{x_1}^{x_2} dx' p/\hbar\right) \sin\left(\int_x^{x_2} dx' p/\hbar + \frac{\pi}{4}\right) \quad (7)$$

+ Now note that changing  $+\pi/4$  to  $-\pi/4$  swaps  $\sin \leftrightarrow \cos$  (with signs). To match, we need

$$\sin\left(\int_{x_1}^{x_2} dx' p/\hbar\right) = \pm 1, \quad \cos\left(\int_{x_1}^{x_2} dx' p/\hbar\right) = 0$$

+ That leads to a quantization condition on energy

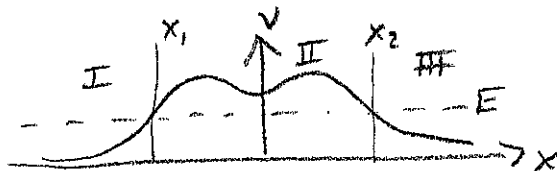
$$\int_{x_1}^{x_2} dx p(x) = (n + 1/2)\pi\hbar, \quad n = 0, 1, \dots$$

A lot like Bohr-Sommerfeld condition for hydrogen

- It is not hard to generalize this to a case where  $V \rightarrow \infty$  at  $x_1$  or  $x_2$ . (see HW.)

## - Tunneling

- Now we have a barrier



+ For scattering, our b. c. is

$$\psi_{III} = \frac{C}{\sqrt{p}} \exp\left[i \int_{x_2}^x dx' p/\hbar\right] \leftarrow \begin{array}{l} \text{right-moving,} \\ \text{transmitted} \end{array}$$

+ On the left

$$\psi_I = \frac{B}{\sqrt{p}} \exp\left[i \int_x^{x_1} dx' p/\hbar\right] + \frac{A}{\sqrt{p}} \exp\left[-i \int_x^{x_1} dx' p/\hbar\right] \leftarrow \begin{array}{l} \text{reflected} \\ \text{incident} \end{array}$$

- You can work out transmission/reflection coefficients by working out connection formulas for complex exponentials.

We'll make an approximation

+ Inside Region II,

$$\psi_{II} = \frac{E}{\sqrt{p}} \exp\left[\int_{x_1}^x dx' p/\hbar\right] + \frac{F}{\sqrt{p}} \exp\left[-\int_{x_1}^x dx' p/\hbar\right]$$

+ Mostly, the wavefunction should die off.

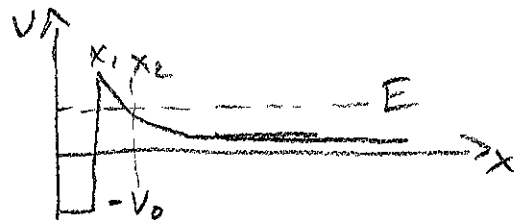
Therefore, assume  $|B| \approx |A|$ ,  $|C| \approx |A| \exp[-\int_{x_1}^{x_2} dx' \rho/\hbar]$

+ Just gives transmission coefficient

$T \approx \exp[-2 \int_{x_1}^{x_2} dx' \rho/\hbar]$  See HW for more

• Example: Decay of nucleus

+  $\alpha$  particle bounces around in binding energy box w/ positive  $E$ .



+ Each time it hits edge of box, it has a chance to tunnel. That's once every  $2x_1/v$  for speed  $v = \sqrt{\frac{2}{m}(E+V_0)}$

+ The transmission coefficient is given by

$\frac{1}{\hbar} \int_{x_1}^{x_2} dx \sqrt{\left(\frac{1}{4\pi\epsilon_0} \frac{ZZe^2}{x} - E\right) 2m} \approx \frac{\sqrt{2mE}}{\hbar} \left(\frac{\pi}{2} x_2 - 2\sqrt{x_1 x_2}\right)$

+ Note that  $x_2$  satisfies  $E = \frac{1}{4\pi\epsilon_0} \frac{ZZe^2}{x_2}$

So  $\Gamma_{\text{decay}} \approx \frac{v}{2x_1} \exp\left[-\frac{a}{\sqrt{E}} + b\right]$  works pretty well

• Deriving the Connection Formulas

- Near the turning points, WKB is invalid as  $p \rightarrow 0$ . (Can't have  $\hbar(p'/p^2) \ll 1$  then).

• In fact, the  $1/\sqrt{p}$  factors make WKB wavefunctions blow up.

• Instead, approximate potentials by linear functions

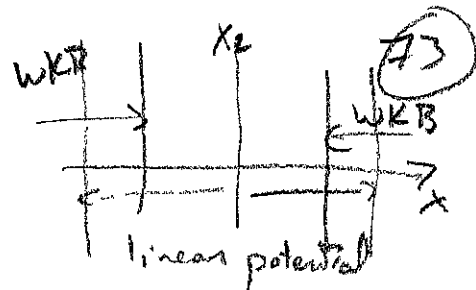
(for  $x_2$ )  $V(x) \approx E + g(x-x_2)$

+ In this region

$\Psi(x) = EA_i \left[ \left(\frac{2mg}{\hbar^2}\right)^{1/3} (x-x_2) \right] + FB_i \left[ \left(\frac{2mg}{\hbar^2}\right)^{1/3} (x-x_2) \right]$

for Airy functions  $A_i, B_i$ .

• We have to match the Airy functions to WKB wave functions where both are valid



+ Use Asymptotic expansions for  $A_i$  and  $B_i$  in terms of trig functions on left

+ The WKB integrals  $\int_x^{x_2} dx' p/\hbar$  allow matching up

+ Similarly with real exponentials on the right

+ See textbooks for algebraic details

• A caution:

+ Must have a region where WKB approximation + linear potential approximation are both valid (almost always true)

+ Also, argument of Airy functions must become large in this region. (sometimes tricky for radial eqn in 3D)